



Conference for
Federal Studies

Spring 1978
Volume 8, Number 2

TABLE OF CONTENTS

Annual Membership Meeting of the Conference for Federal Studies and a CFS Panel Scheduled for 1978 APSA Meeting..	1
Notice to Readers.....	2
Reports on the Member Centers of the Association of Centers for Federal Studies.....	3

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ANNUAL MEMBERSHIP MEETING OF THE CONFERENCE FOR FEDERAL STUDIES AND A CFS PANEL SCHEDULED FOR 1978 APSA MEETING

The Annual Membership Meeting of the Conference for Federal Studies and a CFS Panel have been scheduled to coincide with the 1978 Meeting of the American Political Science Association which will be held at the New York City Hilton Hotel from August 31 to September 3, 1978. Needless to say, all members of the Conference for Federal Studies are cordially invited to attend both sessions.

The Annual Membership Meeting will take place on Friday, September 1 at 11:45 a.m. in the Red Smith Suite of the hotel. As in previous years, the meeting will offer members an opportunity to hear, firsthand, about past, present, and future activities of the Conference, to meet the staff of the Center for the Study of Federalism and other Conference members, and to make suggestions about new projects and activities which the Conference might consider undertaking.

The Conference for Federal Studies Panel is scheduled for Saturday, September 2 at 9:30 a.m. in the Sutton Ballroom Center at the Hilton. The title of the Panel is In-Depth Monitoring of Intergovernmental Programs: The Case of the Community Development Block Grant. All of the participants are (or have been) field associates of the Brookings Institution's continuing monitoring project of the Community Development Block Grant. They are Blease Graham, The University of South Carolina; John Hall, Arizona State University; Sarah Liebschutz, SUNY-Brockport; Catherine Lovell, University of California at Riverside; and Stephen Schechter, Russell Sage College. Ruth Ross of California Polytechnic University will chair the session, and Dick Nathan of the Brookings Institution will be the discussant.

The panel will focus on what those involved in this monitoring project see as important trends and explanatory variables emerging in local politics as a result of a major inter-governmental program. More specific questions to be addressed by each participant utilizing his or her respective locality as a data base will include: What are the political impacts of all those Federal dollars? What fiscal constraints affect local government and how do they manifest themselves locally and politically? What has been the role of HUD in turning local problems into national issues? In the case of participation, have community groups become more sophisticated, and if so, what are the consequences?

The format of the session will be presentations by each participant followed by the discussant's response and then a roundtable interchange. Participation by interested members of the audience will certainly be welcomed.

Once again, all members of the Conference for Federal Studies are strongly encouraged to attend both sessions. The staff of the Center for the Study of Federalism which serves as the Secretariat for the Conference looks forward to meeting many old and new members at both.

NOTICE TO READERS

Since the membership of the Conference for Federal Studies has increased significantly in the last few months, it is appropriate at this time to once again explain to our readers, old and new, about the *CFS Notebook*. The *Notebook* is published quarterly and is distributed to all members of the Conference for Federal Studies along with their copies of *PUBLICUS*. It is intended to be a more informal vehicle of

communication among those who share an interest in topics and activities related to federalism and intergovernmental relations.

Among its regular features are announcements of conferences, seminars and meetings; notices of new publications; research

CFS Notebook is published by the Center for the Study of Federalism, Temple University, Gladfelter Hall, Philadelphia, Pennsylvania 19122.

Editor: Benjamin R. Schuster

Production: Ellen S. Goldberg

CFS Notebook, published on a quarterly basis, is distributed to members of the Conference for Federal Studies.

notes; and an annual inventory of research interests and projects of Conference members. It is very much a members' publication and, as such, sincerely welcomes items of the sort described above. Please address all correspondence to the Editor of the *CFS Notebook* at the Center for the Study of Federalism.

REPORTS ON THE MEMBER CENTERS OF THE ASSOCIATION OF CENTERS FOR FEDERAL STUDIES

As reported in an earlier issue of the *CFS Notebook* (volume 7, number 3, Summer 1977), the Center for the Study of Federalism serves as the secretariat of the newly formed Association of Centers for Federal Studies. In the most recent newsletter of that organization, several of the member centers presented reports on themselves and their recent activities. The *CFS Notebook* presents shortened versions of those reports for the purpose of informing members of the Conference for Federal Studies.

All of the member centers issue publications and all of them would welcome inquiries about their publications or any of the activities listed in their reports. Addresses are provided at the end of each report to facilitate your requests for more information.

CENTRE INTERNATIONAL DE FORMATION EUROPEENNE

Nice, France

CIFE is an international, nongovernmental organization which provides graduate studies on federalism at its two university institutes: the European Institute for Advanced International Studies, University of Nice, France and the University College of Federalist Studies, Aosta, Italy. The Nice Institute offers a one year, degree-granting program (November to June) comparable to the American M.A. program. The Aosta College offers a summer certificate-granting program during July and August. Additionally, CIFE organizes about twenty international conferences each year on Federalism, Regionalism and European Integration. CIFE also publishes a monthly revue, *L'Europe en formation*, and several books and other publications each year.

1. Current Research

CIFE is engaged in studies on Marxism and federalism, direct election of the European Parliament, and federalism as a method of conflict resolution. Studies of democracy and federalism are in-progress and the results will be published in the near future.

2. Conferences and Seminars

International Conference on "The European Community Faces Direct Parliamentary Elections." The President of the E.C.C., Roy Jenkins, was among the speakers. Brussels, January 5 and 6, 1978.

Meeting of the Association of Centers for Federal Studies on June 27-30, 1978, in Aosta, Italy. The conference was hosted by the unit of the Centre International de Formation Europeenne (CIFE) located in Aosta. The principle theme was Federalism and Regionalism.

3. Fellowships Available

The French government awards fellowships for one academic year in France. Graduate students with sufficient knowledge of French should contact the French Embassy in their country for additional information.

Director: Ferdinand Kinsky

Address: Centre International de Formation Europeenne
4, Boulevard Carabacel
F - 06000 NICE/France

Institut European des Hautes Etudes Internationales
Palais de Marbre
3, Avenue de Fabron
F - 06200 NICE/France

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CENTRE FOR RESEARCH ON FEDERAL FINANCIAL RELATIONS

Canberra, Australia

The Centre was established by the Australian National University in 1972, with financial support from the Australian Government, for the purpose of undertaking studies in the field of fiscal federalism. Although the Centre's work is concerned especially with intergovernmental financial relationships, the approach is interdisciplinary and involves scholars from the fields of constitutional law, political science and administrative studies as well as economics. The Centre has only a small permanent staff and much of the research program is carried out by visiting fellows and scholars in other institutions assisted by research grants from the Centre.

1. Current Research

The Centre is presently conducting a seminar series on "Urban Policy in a Federal System" in association with the Department of Environment, Housing and Community Development. Papers will subsequently be edited for publication.

Associates of the Centre are working on a diverse list of research projects including the economics of federalism, historical studies in Australian federalism, and selected issues in other federal systems.

2. Conferences and Seminars

In association with the Australian Centre for Local Government Studies at the Canberra College of Advanced Education, The Australian Council of Local Government Associations and the ANU Centre for Continuing Education, the Centre organized a public conference in May 1977 on "Local Government in Transition: Responsibilities, Finances, Management."

The Centre's Research Advisory Committee participated in a seminar on April 27, 1977 at which papers were presented on various topics.

Working groups of the Centre in Sydney, Brisbane, Melbourne and Hobart have continued to conduct seminar programs on topics related to federalism, and in October 1977 a new working group was established in Townsville.

3. Other Activities

The Director of the Centre has been appointed Chairman of the Advisory Council for Intergovernment Relations, recently established by Commonwealth and State governments, to promote intergovernmental cooperation, with representation from the three spheres of government as well as private citizen members. The Council has issued its First Annual Report for the Year ending August 31, 1977 and begun work on its first two projects.

The Director has also continued to serve as a member of the Commonwealth Grants Commission, which will review the per capita relativities of the tax sharing entitlements received by the six Australian States under the revenue sharing arrangements of the Commonwealth Government's new federalism policy.

4. Positions Available

A research fellowship will be available at the Centre in the beginning of 1978 on the basis of a two-year appointment (with the possibility of extension). The salary range is \$14,632-19,139. Enquiries may be addressed to the Director (Professor R.L. Mathews).

Director: Professor R.L. Mathews.

Address: Centre for Research on Federal Financial Relations
The Australian National University
P.O. Box 4
Canberra, A.C.T. 2600
Australia

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CENTER FOR THE STUDY OF FEDERALISM

Temple University
Philadelphia, Pennsylvania

The Center for the Study of Federalism is an interdisciplinary research, educational, and service institute dedicated to the study of federal principles, institutions, and processes as practical means of organizing political power in a free society. By initiating, sponsoring, and conducting research projects and educational programs related to them, the Center seeks to increase and disseminate knowledge of federal systems--the American and others--and federalism in general, and to develop specialists in the growing field of intergovernmental relations.

1977-78 was an important year for the Center for the Study of Federalism. It was a year of growth and development in most areas of activity. Bolstered by additional full-time staff and outside funding for specific projects, the Center intensified or expanded its operations in most of its fields of concentration. All of the year's developments will carry over to 1978-79 and will provide the basis for future growth in all areas of activity.

1. Current Research

Medium-Size Cities - This project involves studies of selected aspects of urbanization and politics in civil communities which are neither large metropolises nor small towns but medium-size cities between 50,000 and 150,000 inhabitants.

The Covenant as a Political Concept and Factor Influencing Political Behavior - This project is an attempt to thoroughly examine the importance and operational consequences of one of the central concepts in Western political thought, the covenant. It is a multi-disciplinary effort designed to outline the fullest meaning of the concept in the history of political philosophy, to document the complex ways by which the idea has been operationalized in several polities around the world, and, most broadly, to contribute further to our understanding of how ideas, institutions, and culture shape political behavior.

2. Contract Research and Consultative Services

Court Planning Capabilities Project - Funded by the National Center for State Courts (NCSC), this project is aimed at stimulating planning capabilities in the court systems of the states. The Center for the Study of Federalism has served as the evaluator of this project for the preceding two years and will continue in this role for the next, and last, year of the project.

Special Needs Day Care Centers - Funded by the Pennsylvania Department of Public Welfare, this project looks at selected organizational and financial aspects of special needs day care programs in southeastern Pennsylvania. The Center was a co-organizer of the project with the Developmental Disabilities Center of Temple University.

Educational Seminar of Pennsylvania - Funded by the Institute for Educational Leadership (IEL) in Washington, D.C., this project aims at bringing together political leaders and educational policy makers in Pennsylvania to discuss issues related to the making and implementation of educational policy in the state.

The Taft Seminar for Teachers - Funded by a grant from the Robert A. Taft Institute of Government, the Center will organize three weeks of seminars during the Summer of 1978 for teachers, principals and school administrators. The Seminar is designed to increase the participants' understanding of American government, politics, and the two party system and to improve their teaching methods in these areas.

3. Conferences and Seminars

Panel on the Cities of the Prairie - 1977 Meetings of the American Political Science Association, Washington, D.C. (September 1977).

Conference on Government Reorganization - Co-Sponsored with the Woodrow Wilson International Center for Scholars at the Smithsonian Institution, Washington, D.C. (September 1977).

Dialogue on Comparative Federalism - Philadelphia (November 1977).

Dialogue on Federalism Theory - Philadelphia (November 1977).

Meeting of the Cities of the Prairie Research Project - Chicago (January 1978).

Dialogue on the Practice of American Federalism - Philadelphia (February 1978).

Panel on Comparative Federalism - 1978 Meetings of the International Studies Association, Washington, D.C. (February 1978).

Workshop of the Covenant Project - Philadelphia (May 1978).

4. Positions Available

Two graduate research assistantships and one graduate fellowship (the Earhart Fellowship) are regularly available at the Center for the Study of Federalism. The positions are open to graduate students (or those soon-to-be) with the desire and ability to pursue one or more of the Center's several areas of research concentration. Applicants must meet the admission requirements of Temple University's Department of Political Science and be enrolled in one of the Department's M.A., M.P.A. or Ph.D. programs.

5. Future Activities

Conferences and Seminars

Dialogue on Medium-Size Civil Communities - Philadelphia
(Fall 1978).

Conference on the Urban County (Fall 1978).

Dialogue on Political Culture - Philadelphia (Fall 1978).

Workshop of the Covenant Project - Philadelphia (Spring 1978).

Research Projects

Intergovernmental Networks of Services for the Aging.

The Role of the States in the Urban Crisis.

Monitoring the Community Development Block Grant in
Philadelphia (part of the Brookings Institution's nationwide
monitoring project).

Director (Acting): Professor Ellis Katz

Address: Center for the Study of Federalism
Gladfelter Hall, Room 512
Temple University
Philadelphia, Pennsylvania 19122

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INSTITUTE OF INTERGOVERNMENTAL RELATIONS

Kingston, Ontario, Canada

The Institute of Intergovernmental Relations at Queen's University was established in 1965, in order to provide a focus for research, teaching and other activities concerning intergovernmental relations in Canada. Events in 1976-77 have highlighted the economic, social, political and constitutional problems of the Canadian communities and given a new urgency to the Institute's mandate as the only research body in Canada devoted solely to the study of federalism. Since the Quebec election of November 1976, long-simmering difficulties in the Canadian partnership have been posed more sharply. In responding to the urgency, the Institute has added new dimensions to many of its research interests. Without sacrifice of the unique advantages provided by a university setting, its work has become more public, prescriptive and policy-oriented.

1. Current Research

The Future of the Canadian Communities ~ Scholars from politics, law, geography, history, economy and psychology at Queen's University will be involved in developing and extending the research and analysis begun in Must Canada Fail? (McGill-Queen's University Press, October 1977).

How Decentralized is the Canadian Federation? - This study will clarify different senses and dimensions of the terms, "centralized decentralization," and develop operational measures to compare Canada with other federal countries and its own past.

The Structure of Policy Preferences: A New Criterion for the Allocation of Powers - The study will examine policy preferences as elicited by Gallup polls over a long period, to examine regional convergence and divergence in different policy areas and time periods, as a contribution to our knowledge of regionalism and as a guide to allocation of powers.

The Roots of Discontent: Sources of Regional Grievances - A background study on sources of dissatisfaction and their bases within the federal system.

Administrative Aspects of Federalism - A comparative study of the structure and role of internal government departments and agencies within the eleven governments in the Canadian federation and a detailed study of policy variations among the Canadian provinces.

2. Conferences and Seminars

Another week-long workshop is being developed for "Thesis writers in Federalism." Students in any discipline and at any Canadian university will be invited.

3. Consultative and Service Activities

The Director is a member of the Ontario Advisory Committee on Confederation, a consultant to the CBC-TV program Quarterly Report, and a member of the Editorial Advisory Board for the new magazine, Report on Confederation.

Director: Richard Simeon

Address: Institute of Intergovernmental Relations
Queen's University
Kingston, Ontario,
Canada K7L 3N6

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JERUSALEM INSTITUTE FOR FEDERAL STUDIES

Jerusalem, Israel

The Jerusalem Institute for Federal Studies is an independent research and educational institution established in 1976 to initiate, facilitate and conduct research projects and educational programs on questions of federalism, power-

sharing, cooperation and covenantal relations in general, on a comparative and inter-disciplinary basis and to broadly disseminate the results of its work. It seeks to foster understanding of the federal idea in its various forms and the use of federal principles and practices where appropriate. The Institute undertakes projects on its own initiative and commissions from others and cooperates with other bodies in pursuit of common goals.

The Jerusalem Institute has four principal missions: (1) to explore the use of federal principles and practices in accommodating pluralism in the land of Israel and its region; (2) to improve Israel's understanding of the scope and implications of the federalist revolution now sweeping the world; (3) to develop a vehicle in Israel through which to participate in the study of federalism and related phenomena wherever and in whatever form they may occur; (4) to increase understanding of the relationship between federal principles and the solution of current political and social problems.

The Institute has been located in Jerusalem in the conviction that the land of Israel as the birthplace of the covenant idea which is the foundation of federalism and Jerusalem as a principal center of human aspiration for a better world must play a significant role in so vital a human movement.

1. Current Projects

Alternative Federal Solutions for Peace Between Israel and its Neighbors - An examination of all the various options that are possible utilizing federal principles for developing a comprehensive solution to the problems of peace between Israel, Jordan and the Palestinian Arabs.

The Covenant Idea as a Seminal Political Concept and its Behavioral Implications - An examination of the original concept underlying the federal principle as it has developed in the political thought of the Jewish and other peoples, and the links between political ideas and behavior in politics influenced by that concept.

Possible Forms of Governmental Organization for Jerusalem - At the request of the City of Jerusalem, the Jerusalem Institute has undertaken the study of possible forms of internal organization to provide for neighborhood participation in the governance of what is now Israel's relatively large municipal territory.

Israel as a Compound Polity - This project, conducted jointly with the Bar-Ilan University Institute of Local Government involves a variety of legal, political and social studies on the structure of Israeli law, the country's political cul-

ture and the structure of Israel's political institutions and behavior with a view toward exploring their federative roots and current manifestations.

Israel and the European Community - This project focuses on the emerging relationships between Israel and the European community, particularly, but not exclusively, in the economic field, and the implications for both parties of Israel's associate membership in the European Common Market.

The Israel Government Commission on Local Government - This commission has commissioned several papers from the Jerusalem Institute dealing with such matters as local government corporations, the optimum size of municipal entities, and regional and federative arrangements within Israel and elsewhere.

Social Networks and Political Communication in Multi-Ethnic Societies - This project uses the tools of network analysis to study the communication of political knowledge among peoples of different ethnic or country-of-origin backgrounds and between them and their political leaders; it is part of a multi-country comparative study.

Workshop on Federal Responses to Current Political Problems - The Workshop, co-sponsored by the JIFS and the Senator N.M. Paterson Chair of Intergovernmental Relations at Bar-Ilan University, first met in February 1977, initiating its activities with the first Paterson conference on Federal Responses to Current Political Problems which focused on federal responses to political integration. Papers from that conference are presently being prepared for publications. The Workshop held another seminar on federalism and political integration in Africa and the Arab world in May of 1977, the papers of which will be included in the conference volume. The Workshop is also exploring possible federal solutions in Cyprus, southern Africa, and Spain.

The focus of the Workshop in 1978 is on federal solutions to the Israel-Arab conflict, which was also the subject of the second Paterson conference held at the end of January. The conferences are provided their principal support through Senator Norman M. Paterson, of Ottawa, Ontario, Canada.

2. Fellowships and Research Support

The Jerusalem Institute offers research support to scholars working on projects of interest to it, usually on a supplemental basis. A limited number of Visiting Fellowships are also available. Such appointments usually do not carry stipends but involve the provision of office space and ancillary services. Interested parties should write to the President, Jerusalem Institute for Federal Studies, 12 Moshe Hess St., Jerusalem, Israel.

Director (President): Professor Daniel J. Elazar

Address: Jerusalem Institute for Federal Studies
12 Moshe Hess Street
Jerusalem, Israel

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JOINT CENTER FOR FEDERAL AND REGIONAL STUDIES

Riehen, Switzerland

The Joint Center for Federal and Regional Studies is an independent, non-profit research institution focusing on the problems of federal and intergovernmental relations, organization, and regional organization and cooperation. The Joint Center's concerns are international in scope with particular emphasis on Switzerland and federal and regional phenomena in Europe.

The founding members of the Joint Center are:

*Center for the Study of Federalism, Temple University

*Foundation for Confederal Collaboration, Solothurn:

(the association of Swiss cantons)

*Institute for European Studies, Geneva

*Regio Basiliensis, Basel: (planning agency for the three country metropolitan area of Basel)

*Swiss Political Science Association, Zurich

Its offices are located at the Wenkenhof, an estate owned by the canton of Basel-stadt and located in the suburb of Riehen. While the formal founding of the Joint Center took place in June 1975, the Center actually began its activities during the course of the previous year on a project basis.

The Joint Center is governed by an international board of Swiss and American representatives. The Chairman of the Board is Nello Celio, former President of Switzerland. The operations of the Joint Center are under the direction of an executive council.

1. Current Projects

Comparative Federalism - Focusing on European federal systems and utilization of federal principles in European integration in connection with parallel projects examining federal systems on other continents.

The Sharing of Functions in the Swiss Federal System - This project will monitor developments in the Swiss federal system with special emphasis on processes of intergovernmental cooperation and conflict.

The Politics of Medium-Size Cities - will monitor the politics of Swiss and other European cities with a population of 40,000 to 250,000 in connection with a similar project in the United States.

The Diffusion of Innovations Among Local Governments - in connection with similar projects in the United States and Israel.

Regional Collaboration in Northwestern Switzerland - This project will monitor regional planning and development and intergovernmental cooperation in the cantons of northwestern Switzerland.

Metropolitan Planning in the Basel Frontier Region - will monitor planning and development in the Basel metropolitan region which includes adjacent Swiss cantons, southern Alsace in France, and the southwestern section of the German land of Baden-Wuerttemberg.

The Linkage Between Ideas and Behavior in Federal Systems - Focuses on the importance of the covenant idea as the basis of federal theory and its relationship to political culture and behavior in selected federal systems.

Manifestations of Associated State Federalism in Europe - This project, an extension of a larger study, involves the study of the European examples of association as a form of federalism (as in the case of Lichtenstein's association with Switzerland) as an alternative form of small state-major power relations.

2. Current Research

The Center is currently engaged in a major study on the content and applicability of the "common task" formula for Switzerland. Another study is devoted to the financial relations between the Canton of Basel Town and its commune of Riehen. We are also preparing a bibliography on Swiss federalism, with an expected publication in Winter 1978. Additionally, proposals are being prepared for a research grant for Fall 1978, from the federal government in connection with the National Priority Research Program on "Regionalism."

3. Other Activities

The Director will continue to be a member of the Experts Committee for the National Priority Research Program. He is also involved in the setting up of a new Canton in Switzerland (Jura) and in the study of a possible new allocation of functions between the federal and the cantonal governments in Switzerland.

Director: Dr. Max Frenkel

Address: Forshungsinstitut für Föderalismus und
Regionalstrukturen
Wenkenhof
Riehen, Baselland
Switzerland CH-4125

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WORKSHOP IN POLITICAL THEORY AND POLICY ANALYSIS

Indiana University
Bloomington, Indiana

The Workshop in Political Theory and Policy Analysis was organized in 1973 by its two current Co-Directors, Elinor and Vincent Ostrom. The primary focus of the Workshop is the development of political theory as an analytic tool to be used in the design and conduct of empirical research on public policy problems. Within this focus lies a specific concern with institutional analysis and design, that is, a concern for organizational forms in relation to the nature of public goods and services, and the consequences that might be expected from matching particular forms and particular services.

The Workshop maintains a vigorous program of teaching and research designed to pursue these questions. Students are encouraged to participate in on-going research projects as a part of their course work and as paid research staff. Current staff number approximately 30 persons. In addition to course offerings and research, the Workshop holds weekly colloquia where faculty, students, and guests at Indiana University discuss problems of mutual interest. An extensive publications program is maintained.

1. Current Research

Delivery of Police Services - This is currently the primary research focus of the Workshop. Work is continuing on the study of police services in metropolitan areas. At present, the Workshop is conducting intensive analyses of police patrol performance in 60 residential neighborhoods located in 25 jurisdictions with data collected during the past year under a grant from the National Science Foundation. In addition, work continues on a parallel study of police referral practices in these same 60 neighborhoods under a grant from the Law Enforcement Assistance Administration.

2. Future Activities

Development of an integrated system of police performance measurement under a separate grant from LEAA (Fall 1978).

The hosting of two postdoctoral and two predoctoral trainees in Institutional Analysis and Design in the Criminal Justice System under a grant from the National Institute of Mental Health (Fall 1978).

Dr. L. Adele Jinadu of the University of Lagos, Nigeria, will be visiting the Workshop for the next year.

Director: Professors Elinor and Vincent Ostrom

Address: Workshop in Political Theory and Policy Analysis
Indiana University
814 East Third Street
Bloomington, Indiana 47401

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Missing from the preceding series is the report of the Institut universitaire d'études européennes. We hope to be able to present a report on the Institut in a later issue of the *Notebook*. Anyone interested in receiving information about it should write to Professor Denis de Rougement at the Institut universitaire d'études européennes, 122, rue de Lausanne, 1202 Genève, Switzerland.

